

10-10-01

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JC904 U.S. PTO
10/05/01

UTILITY PATENT APPLICATION AND FEE TRANSMITTAL (SMALL ENTITY)

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.
500006/114

Total Pages

First Named Inventor or Application Identifier

Neall W. Humphrey

Express Mail Label No.

EL585706909US

J1040 U.S. PTO
09/973286

10/05/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing.)
2. ☒ Specification Total Pages
- Descriptive title of the invention -
- Cross References to Related Applications -
- Statement Regarding Fed sponsored R & D -
- Reference to Microfiche Appendix -
- Background of the Invention -
- Brief Summary of the Invention -
- Brief Description of the Drawings (if filed) -
- Detailed Description -
- Claim(s) -
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets
4. ☒ Oath or Declaration Total Pages
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)
- i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 C.F.R.
1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked).
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
4b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated
by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if
applicable, all necessary)
- a. ☐ Computer readable copy
- b. ☐ Paper copy (identical to computer copy)
- c. ☐ Statement verifying identity
of above copies

8. ☒ Assignment Papers (cover sheet & documents)
9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
12. ☒ Preliminary Amendment
13. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity ☒ Statement filed in prior appln.
Statement(s) Status still proper and desired.
15. ☐ Certified Copy of Priority Document(s)
(If foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information

- ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. : (fill
in)

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ New correspondence address below

OPPENHEIMER WOLFF & DONNELLY LLP
2029 Century Park East, Suite 3800
Los Angeles, California 90067 U.S.A.
Telephone (310) 788-5000 • Fax (310) 277-1297
Attn: Louis J. Bovasso

FEE CALCULATION

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	20 - 20	X	\$ 9.00	
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	3 - 3	X	\$ 40.00	
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00	
				BASIC FEE (37 CFR 1.16(a))	\$370.00
				Total of above Calculations =	
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				
				TOTAL =	\$370.00

6. ☒ Small Entity Status
- a. ☒ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.
7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-2230:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☒ A check in the amount of \$ 370.00 is enclosed.
9. ☐ Other _____

Issuance of the official Filing Receipt at an early date is in order.

Express Mailing Label No. EL585706909US. I hereby certify that on October 5, 2001, I am depositing this correspondence and all listed attachments with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 C.F.R. § 1.110, addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Deborah A. Purnell
Deborah A. Purnell

Dated: October 4, 2001

Louis J. Bovasso
Louis J. Bovasso, Reg. 24,075

OPPENHEIMER WOLFF & DONNELLY LLP

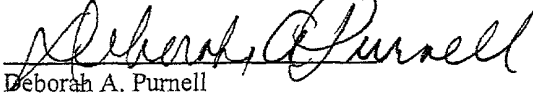
2029 Century Park East, 38th Floor
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COPY

PATENT

Client/Matter No. 500006-90

I certify that on October 5, 2001, which is the date I am signing this certificate, this correspondence and all identified attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.


Deborah A. Purnell

Applicant: Neall W. Humphrey

Serial No. 09/814,906

Filed: March 22, 2001

Title: FREESTANDING PORTABLE LAMP

DISPLAY PACKAGE

Examiner: David T. Fidei

Art Unit: 3728

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
Washington, D.C. 20231

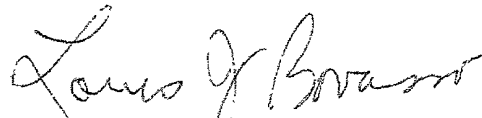
LETTER AND NOTICE OF FILING OF DIVISIONAL APPLICATION

Sir:

Responsive to the Notice of Allowance mailed September 19, 2001, applicant confirms the election of the invention of Group 1 without traverse.

Applicant is filing a divisional application directed to Figs.14 to30.

Respectfully submitted,



Dated: October , 2001

Louis J. Bovasso
OPPENHEIMER WOLFF & DONNELLY LLP
2029 Century Park East, Suite 3800
Los Angeles, California 90067-3024
310/788-5000

LJB:dp

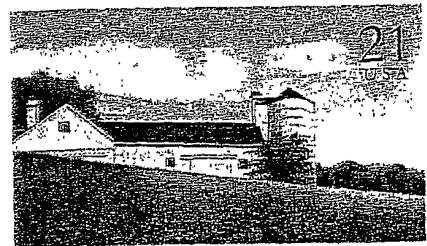
PATENT

Receipt is hereby acknowledged for the following at the U.S. Patent and Trademark Office in Washington, D.C.

Client: Trade Source International
Inventor: Neall W. Humphrey
Serial No. 09/814,906
Filed: March 22, 2001
TITLE: FREESTANDING PORTABLE LAMP DISPLAY PACKAGE
OWD #: 500006-90 Date of Deposit: October 5, 2001

- ☒ Letter and Notice of Filing of Divisional Application
☒ Return Postcard

LB:dp
LA: 288502 v01 10/05/2001



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